Central Depository Company of Pakistan Limited

STATUTORY FILING REQUIREMENTS FOR PUBLIC UNLISTED AND PRIVATE LIMITED COMPANIES HAVING SHARE CAPITAL

Updated 18th July 2026

1. Information and details of shareholders to be provided by CDC:

CDC will provide the list of beneficial owners / shareholders report to the company through online system access:

a) **On a monthly basis:** On the first business day of each month, the list of beneficial owners reflecting the position as of last business day of the previous month shall be provided;

b) At the time of processing of corporate actions; and

c) To meet any regulatory obligations, CDC, upon request of an issuer, shall provide statements or records within two working days of the receipt of such request reflecting the position as of the last business day immediately preceding the date of such request, or any other relevant record pertaining to book-entry securities as may be notified by the Commission.

2. Submission of Statutory forms to SECP:

When submitting a statutory forms to Registrar, SECP, ensure the inclusion of the following documents which shall be provided by CDC on the request of Issuer / Company.

Sr. No.	Relevant Applicable Form	Additional Document(s)
1.	Form-3 (Return of allotment of shares & Change in shareholding or membership or voting rights)	In case of allotment of shares. Statement of allotment of shares / CDS list of allottees. In case of transfer of shares Statement of transfer of shares / CDS Account Activity report.
2.	Form-A (Annual return of a company)	Complete list of shareholding / CDS list of beneficial owners' report. Complete CDS list of transfer of shares, if applicable.
3.	Form-27 (Final return for buy back of shares of unlisted companies)	Statement of buy back of shares / CDS Account balance statement where buy back shares are parked.